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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/775,342	01/31/2001	John T. McDevitt	5119-00523/EBM	7210

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EXAMINER

DO, PENSEE T

ART UNIT	PAPER NUMBER
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1641

DATE MAILED: 08/13/2003

14

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/775,342

Applicant(s)

MCDEVITT ET AL.

Examiner

Pensee T. Do

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 16 May 2003.
- 2a) ☒ This action is FINAL. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 309-326 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 309-326 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) ☐ The proposed drawing correction filed on _____ is: a) ☐ approved b) ☐ disapproved by the Examiner.
- If approved, corrected drawings are required in reply to this Office action.
- 12) ☐ The oath or declaration is objected to by the Examiner.

Priority under 35 U.S.C. §§ 119 and 120

- 13) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
- a) ☐ The translation of the foreign language provisional application has been received.
- 15) ☐ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

Attachment(s)

- | | |
|---|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____. |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) <u>13</u> . | 6) <input type="checkbox"/> Other: _____. |

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DETAILED ACTION

Amendment Entry & Claim Status

The amendment filed on May 16, 2003 has been acknowledged and entered.

Claims 309-326 are pending.

Withdrawn Rejection(s)

Rejection under 35 USC 112, 1st paragraph is withdrawn herein.

Maintained Rejection(s)

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 309-326 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 309 is confusing. The claim is unclear of what the sensor is, i.e. the particle? The cavity and the particle? Or the captured particle on the cavity?

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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Claims 309, 312-314, 326 are rejected under 35 U.S.C. 103(a) as being unpatentable over Hatch et al. (US 6,514,415) further in view of Wang et al. (US 5,922,617).

Hatch teaches a method and apparatus for magnetic separation of particles within a container (cavity). The container can be 96-well micro plates (supporting member), 1536 well micro-plates; tubes, petri dishes. (see col. 3, lines 37-42). The container contains a number of particles and a number of magnetically susceptible particles. A number of magnets are arranged in a plane and is placed close to the container. The magnetic poles of the magnets are arranged in a pattern to apply magnetic fields oriented perpendicular to the plane on the container. The pole pattern provides in consistent separation across the container of the number of magnetic susceptible particles from the rest of the particles. The magnets may be ferromagnetic, ferromagnetic, alinco, polymer-bonded , rare earth and ceramic materials. The magnets can be proximate the container such as at the bottom of the container or the side of the container. The paramagnetic micro-beads are coated with a chemical specific substance that will bind with the target particles. The application of the magnetic field from the magnets proximate the container attracts the microbeads with the bonded target particles towards the magnets of the container and the target particles are separated from the unwanted particles within the solution. The magnets comprise of permanent magnets and electromagnets. (col. 5, lines 32-40).

However, Hatch fails to teach that the particles (magnetic particles) are configured to produce a signal when the particles interact with the analyte/target particle.

Wang teaches different methods of immunoassay using a particle such as magnetic particles. In one embodiment the particle provides a positive ^{signal} ~~signal~~ (col. 7, lines 1-20). The particle has bound components such as a receptor and is encoded with a binary code of a homologous aliphatic sequence with halides (indicator), which is releasable from the particle. The method involves isolating the particle, photolysing the coded molecule and analyzing the bound component. In another embodiment, the particles are labeled and using one or more labeled proteins of interest, one can detect which of the compounds of the library bind to the protein of interest (col 7, ln. 60-67). Regarding the limitation of claim 313, since Hatch and Wang both teach using an electromagnetic to hold the particles in place (col. 6, lines 5-15), it is obvious to one of ordinary skills in the art to apply an electric current to the electromagnet in order to produce an electromagnetic field.

It would have been obvious to one of ordinary skills in the art at the time the invention was made to modify the array of Hatch by placing the particles taught by Wang into the wells of the array because Wang teaches that particles such as magnetic beads offer the advantages of providing a great flexibility, where the bound components can be arrayed in numbers of sizes and with the beads, the arrays are reversible and can be retrieved for further processing (see col. 6, lines 63-67). The use of arrays

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containing particles offers the advantage of greater surface area for a reaction and the ability to screen multiplicity of chemical compounds simultaneously.

Claims 309, 312, 313, 314, 326 are rejected under 35 U.S.C. 103(a) as being unpatentable over Taylor (US 6,103,479) in view of Wang et al. (US 5,922,617).

Taylor teaches a device with non-uniform micro-patterned array of cells and method for making the device (col. 6, lines 40-50). In one embodiment of the chamber (ref. # 12) (support member) has channels and matching etched domains (ref. #13) (cavity). The non-uniform micro-patterned array of cells is inverted so that that the wells become submerged in the etched domains filled with fluid (col. 14, lines 48-54, figs. 4 and 7). The etched domains are larger in diameter than the wells of the non-uniform micro-patterned array of cells. The microfluidic channels are etched into each row of etched domains of the chamber. Each row of connected channels can be filled simultaneously or sequentially. During filling of the channel by valves and pumps or capillary action, each of the channels of the chamber fills each etched domain in the row of etched domains connected by the channel. The base of the non-uniform micro-patterned array of cells can be glass, plastic, or silicon wafer (col. 8, lines 34-40).

However, Taylor fails to teach the use of particles in its array.

Wang teaches different methods of immunoassay using a particle such as magnetic particles. In one embodiment the particle provides a positive signal (col. 7, lines 1-20). The particle has bound components such as a receptor and is encoded with a binary code of a homologous aliphatic sequence with halides (indicator), which is releasable from the particle. The method involves isolating the particle, photolysing the

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coded molecule and analyzing the bound component. In another embodiment, the particles are labeled and using one or more labeled proteins of interest, one can detect which of the compounds of the library bind to the protein of interest (col. 7, ln. 60-67).

It would have been obvious to one of ordinary skills in the art at the time the invention was made to modify the array of Taylor by placing the particles taught by Wang into the wells of the array because Wang teaches that particles such as magnetic beads offer the advantages of providing a great flexibility, where the bound components can be arrayed in numbers of sizes and with the beads, the arrays are reversible and can be retrieved for further processing (see col. 6, lines 63-67). The use of arrays containing particles offers the advantage of greater surface area for a reaction and the ability to screen multiplicity of chemical compounds simultaneously. Regarding the limitation of claim 313, since Wang teaches using an electromagnetic to hold the particles in place (col. 6, lines 5-15), it is obvious to one of ordinary skills in the art to apply an electric current to the electromagnet in order to produce an electromagnetic field.

Claims 310, 311 are rejected under 35 U.S.C. 103(a) as being unpatentable over Taylor (US 6,103,479) in view of Wang (US 5,922,617) further in view of Kroy (US 5,252,294).

Taylor and Wang have been discussed above.

However, Taylor and Wang fail to teach a cavity is formed by anisotropic etching method and the sidewalls are tapered at an angle.

Kroy teaches a method of forming the cavity by anisotropic etching method and the sidewalls of the cavity are tapered at an angle (col. 2, lines 47-58). The sidewalls are tapered at an angle of 54.7 degrees. This method of etching would provide the advantage of depressions with high geometric precision and very narrow tolerances.

It would have been obvious to one of ordinary skills in the art to include the method of forming the cavity by anisotropic etching method and the sidewalls are tapered at an angle taught by Kroy to the array of Taylor as modified by Wang for the advantage of providing depressions of the cavity with high geometric precision and very narrow tolerances (Kroy col. 2, lines 47-52).

Claims 315, 317, 322, 323 are rejected under 35 U.S.C. 103(a) as being unpatentable over Taylor modified by Wang further in view of Owen et al. (US 5,866,099).

Taylor and Wang have been discussed above.

However, Taylor and Wang fail to teach that the particle comprises a polymeric material and a ferromagnetic material or a ferrite magnetic material, or iron oxide magnetic material.

Owen teaches a magnetic-polymer particle, useful in immunoassay techniques and various other biological/medical applications. The magnetic material comprises ferromagnetic or iron oxide or ferrite. The magnetic-polymer particles exhibit many different useful properties. These particles are magnetic due to the inclusion of a form of magnetic metal compound (e.g. iron similar in the form to magnetite, or a similar compound). The particles can be formulated to be resuspendable after aggregation and

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to produce relatively stable suspensions, which do not settle even after several days of quiescent storage. Furthermore, the particles can be relative small and therefore filter sterilizable. Finally, these particles can be tailored-made to include specific biofunctional ligands useful in various analytical, diagnostic and other biological/medical applications (see col. 3, lines 24-59).

It would have been obvious to one of ordinary skills in the art to be motivated to use the polymeric particles with magnetic material such as ferrite, iron oxide or ferromagnetic as taught by Owen in the modified method of Taylor and Wang because these particles exhibit many useful properties such as they can be resuspendable after aggregation to produce stable suspension, are filter sterilizable, and the polymeric composition is useful in that it provides specific biofunctional groups for specific ligands useful in various analytical, diagnostic and other biological/medical applications. (see col. 3, lines 24-59). Furthermore, the particles of Owen offer the advantages of providing high porosity microbeads that can be used as an absorbent material and as a solid support in a variety of biological/medical applications.

Response to Arguments

The arguments filed on May 16, 2003 have been fully considered but not found persuasive.

Applicants amended the claims to include a limitation of "wherein the cavity is configured such that the fluid entering the cavity passes through the support member" to overcome the prior art rejections. Applicants submit that the references alone or in combination fail to teach the above limitation.

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The primary references teach a container for micro-plates that have a plurality of wells (cavities). Magnets are proximate the container such as to the side of the container. The magnets are arranged in a plane with the poles of the magnets corresponding to a number of wells. A plurality of magnetically susceptible beads are applied to the wells and inhibited from displacement by the applied magnets. Thus, when a fluid sample possibly containing the analyte is passed through the wells, the analyte if present becomes bound to the beads. Whatever unbound along with the fluid would flow down to the bottom of the wells. Thus, separation is achieved. The space between the bottom surface of the particles and the bottom surface of the wells can be interpreted as the space passing the support member. The support member can support part of the wells or end of the wells. Thus, it satisfies the requirement of the new limitation.

Remarks

Claims 316, 318-321, 324, 325 are free of prior arts.

The prior arts fail to teach particles comprising a polymeric material and one of the of the followings: an alnico magnetic material, barium ferrite magnetic material, strontium ferrite magnetic material, neodymium iron boron magnetic material, samarium cobalt magnetic material, and a metallocene and a metal hydroxide; and the method further comprises placing the polymeric material and magnetic material in a solvent; and applying ultrasound to the solvent.

Conclusion

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Pensee T. Do whose telephone number is 703-308-4398. The examiner can normally be reached on Monday-Friday, 7:00-3:00.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Long Le can be reached on 703-305-3399. The fax phone numbers for the organization where this application or proceeding is assigned are 703-308-4242 for regular communications and 703-746-5291 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-308-0196.

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Pensee T. Do
Patent Examiner
August 6, 2003



CHRISTOPHER L. CHIN
PRIMARY EXAMINER
GROUP ~~1800~~ 1641